

1645

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being transmitted therewith) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, Washington, D.C. 20231.

Date: June 11, 2002

Robert A. Silverman
(Print Name)

(Signature)

#7

PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group No.: 1645

Ulrich Certa

COPY OF PAPERS
ORIGINALLY FILED

RECEIVED

Serial No.: 09/931,733

JUN 27 2002

Filed: August 17, 2001

TECH CENTER 1600/2900

For: DETERMINATION OF THE ABILITY OF PATIENTS TO RESPOND TO A TUMOR TREATMENT

TRANSMITTAL OF CERTIFIED COPY

June 11, 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Attached please find the certified copy of the foreign application from which priority is claimed for this case:

<u>Country</u>	<u>Application No.</u>	<u>Filing Date</u>
Europe	00118603.0	August 28, 2000

Respectfully submitted,

Robert A. Silverman
Attorney for Applicant
Reg. No. 35682
Hoffmann-La Roche Inc.
340 Kingsland Street
Nutley, New Jersey 07110
Phone: (973) 235-2863

RAS/bah
Enclosures
54020

THIS PAGE BLANK (USPTO)



Europäisches
Patentamt

European
Patent Office

Office européen
des brevets

Bescheinigung

Certificate

Attestation

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

00118603.0

Der Präsident des Europäischen Patentamts;
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets
p.o.

I.L.C. HATTEN-HECKMAN

DEN HAAG, DEN
THE HAGUE, 03/08/01
LA HAYE, LE



OFFICE OF THE DIRECTOR
OF THE BUREAU OF THE
CENSUS

THIS PAGE BLANK (USCIS)



Eur päisches
Patentamt

European
Patent Office

Office eur péen
des brevets

Blatt 2 der Bescheinigung
Sheet 2 of the certificate
Page 2 de l'attestation

Anmeldung Nr.:
Application no.:
Demande n°: 00118603.0

Anmeldetag:
Date of filing: 28/08/00 ✓
Date de dépôt:

Anmelder:
Applicant(s):
Demandeur(s):
F. HOFFMANN-LA ROCHE AG
4070 Basel
SWITZERLAND

Bezeichnung der Erfindung:
Title of the invention:
Titre de l'invention:
Determination of the ability of patients to respond to a tumor treatment

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)

Staat:
State:
Pays:

Tag:
Date:
Date:

Aktenzeichen:
File no.
Numéro de dépôt:

Internationale Patentklassifikation:
International Patent classification:
Classification internationale des brevets:

/

Am Anmeldetag benannte Vertragsstaaten:
Contracting states designated at date of filing: AT/BE/CH/CY/DE/DK/ES/FI/FR/GB/GR/IE/IT/LI/LU/MC/NL/PT/SE/UK
Etats contractants désignés lors du dépôt:

Bemerkungen:
Remarks:
Remarques:

1. The first part of the report is a summary of the work done during the period covered by the report.

2. The second part is a detailed account of the work done during the period covered by the report.

3. The third part is a summary of the results of the work done during the period covered by the report.

4. The fourth part is a summary of the conclusions reached during the period covered by the report.

5. The fifth part is a summary of the recommendations made during the period covered by the report.

6. The sixth part is a summary of the work done during the period covered by the report.

THIS PAGE BLANK (USPTO)

7. The seventh part is a summary of the work done during the period covered by the report.

8. The eighth part is a summary of the work done during the period covered by the report.

9. The ninth part is a summary of the work done during the period covered by the report.

10. The tenth part is a summary of the work done during the period covered by the report.

11. The eleventh part is a summary of the work done during the period covered by the report.

12. The twelfth part is a summary of the work done during the period covered by the report.

13. The thirteenth part is a summary of the work done during the period covered by the report.

14. The fourteenth part is a summary of the work done during the period covered by the report.

15. The fifteenth part is a summary of the work done during the period covered by the report.

16. The sixteenth part is a summary of the work done during the period covered by the report.

17. The seventeenth part is a summary of the work done during the period covered by the report.

18. The eighteenth part is a summary of the work done during the period covered by the report.

19. The nineteenth part is a summary of the work done during the period covered by the report.

20. The twentieth part is a summary of the work done during the period covered by the report.

F. Hoffmann-La Roche AG, CH-4070 Basle, Switzerland

Case 20676

Determination of the ability of patients to respond to a tumor treatmentField of The Invention

The present invention relates to a method for screening patients to determine
5 their ability to respond to a tumor treatment. It concerns also a diagnostic test for carrying
out the said method.

Background of The Invention

Treatment capable of opposing the development of tumors have a lot of
interest in the therapeutically research. Since not all tumors are the same, only some of
10 patients respond to a particular tumor treatment.

Furthermore, in subgroups of patients, specific activities of tumor treatments
are accompanied by toxic effects for healthy, including, for example, gastrointestinal
disorders, hypo- or hypertension, tachycardia, fatigue/asthenia or headache. In other
subgroups of patients, no obvious beneficial effects are observed.

15 Finally, tumor cells change over time and may eventually become resistant to a
specific tumor treatment.

Carcinogenesis, tumor progression and metastasis result from an imbalanced
transcriptional program, inappropriate post-translational modifications and deregulated
epigenetic modifications (Schwartz, M. et al., Anticancer Res 19 (1999) 1801-1814;
20 Pardee, A.B., Advances in Cancer Res 65 (1994) 213-227; Ponta, H., Biochim Biophys Acta
1198 (1994) 1-10). Changes of the transcriptional program are due to oncogenes and
tumor suppressor genes, fusion proteins created by cytogenetic alterations, altered
expression of genes due to unscheduled methylation by DNA methyltransferases and
chromatin modifying enzymes such as histone acetyltransferases and histone deacetylases
25 (Lin, R.J. et al., Trends Genet 15 (1999) 179-184; Stunnenberg, H.G. et al., Biochem
Biophys Acta 1423 (1999) F15-F33).

One major difficulty is that, at present, it is only rarely possible to predict whether
a particular patient's tumor will respond to a specific tumor treatment. It is also difficult to
predict how tumor cells change over time and if they become resistant to specific tumor
30 treatment.

FG/vh/14.06.00

For identification of tumor-related candidate genes, transcriptional profiling of cellular systems such as metastasizing versus non-metastasizing cell lines and tumor specimen corresponding to different stages of progression is the first step for achievement of this goal (Schiemann, S. et al., Anticancer Research 17 (1997) 13-20; Schwirzke, M. et al., Anticancer Research 18 (1998) 1409-1422; Schiemann, S. et al., Clin Exp Metastasis 16 (1998) 129-139). Further steps involve analysis of prevalence of the identified alteration in different tumors, in-vitro modulation of the gene under consideration by overexpression and downregulation making use of antisense RNA or ribozymes in stable transfectants and assessing the consequences in relevant in-vitro systems. The advent of nude mouse systems, including subcutaneous xenograft systems and orthotopic implantation in which the natural tropism of metastasis of the tumor under investigation is maintained, has paved the way for assessment of the functional role of candidate genes in vivo (Fidler, I.J., Cancer Metastasis Rev 50 (1986) 29-49).

Clinical, immunological or molecular features enabling a targeted selection of patients likely to take advantage of tumor treatments have not been identified so far.

Clearly, the possibility to develop criteria predicting the potential effectiveness of tumor treatments would be of high clinical relevance since it would spare unnecessary toxicity to non responders and it would contribute to the identification of specific responder patient's subgroups.

Summary of The Invention

The present invention provides a method for screening patients to determine their ability to respond to a tumor treatment, said method comprising measuring by said patients the expression level of one or more genes responsive for said treatment and comparing the result of measurement to a reference sample. The present invention also concerns a diagnostic tests for carrying out said method.

Detailed description of The Invention

The expression "tumor treatments" as used herein includes all biological or chemical antitumor drugs.

The expression "reference sample" as used therein concerns a reference of gene expression level characteristics of normal cells or of normal tissue extracts.

The term "IFN- α " as used herein includes IFN- α s derived from any natural material (e.g., leukocytes, fibroblasts, lymphocytes) or material derived therefrom (e.g. cell

lines), or those prepared with recombinant DNA technology. Details of the cloning of IFN- α and the direct expression thereof, especially in *E. coli*, have been the subject of many publications. The preparation of recombinant IFN- α s is known, for example from Goeddel et al. (1980) *Nature* 284, 316-320 and (1981), *Nature* 290, 20-26, and European
5 Patents Nos. 32134, 43980 and 211148. There are many types of IFN- α such as IFN- α I, IFN- α 2; and further their subtypes including but not limited to IFN- α 2A, IFN- α 2B, IFN- α 2C and IFN- α II (also designated IFN- α II or w-IFN). In the present invention, the use of IFN- α 2A is preferred. The manufacture of IFN- α 2A is described in European Patents Nos. 43980 and 211148.

10 The method of the present invention for screening patients to determine their ability to respond to a tumor treatment comprises measuring by said patients the expression level of at least one of the genes predictive for said treatment and comparing the result of the measurement to a reference sample.

The present invention is useful for screening patients suffering from tumor.
15 Exemplary tumors include ovaries cancer, prostate cancer, breast cancer, colon cancer, liver cancer, stomach cancer or lung cancer. Of particular interest is melanoma cancer.

In the present invention, the tumor treatment may include tumor drug like cytokine, for example:

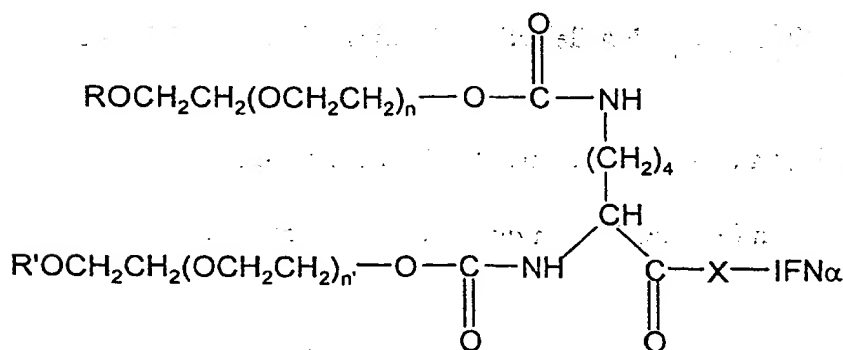
It may include an active ingredient IFN- α or pegylated IFN- α conjugate in a
20 therapeutically effective amount to decrease the severity of the viral infection.

The IFN- α used in this invention may be conjugated to a polymer such as a polyalkylene glycol (substituted or unsubstituted), for example polyethylene glycol, to form PEG-IFN- α . Conjugation may be accomplished by means of various linkers known in the art, in particularly by linkers such as those disclosed in European Patent
25 Applications, Publication Nos. 0510356 and 593868 and European Patent Application No. 97108261.5. The molecular weight of the polymer, which is preferably polyethylene glycol, may range from 300 to 30.000 Dalton, and one or more, preferably one to three, polymers may be conjugated to the IFN- α .

Most preferably, the reagents attach to primary amino groups on for example lysine
30 or to the N-terminus of the IFN- α . The reagents can also attach to a hydroxyl on for example serine. One or more, preferably one to three, PEGs may be conjugated to the IFN- α .

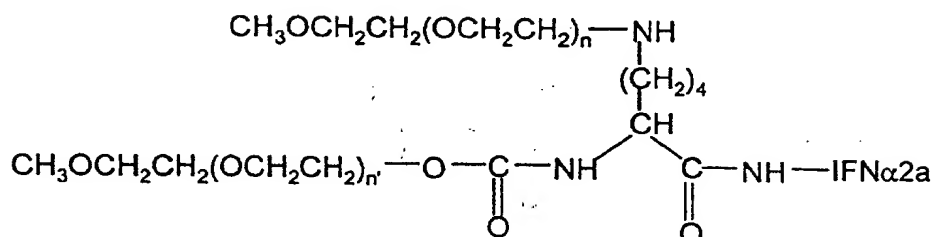
$$\begin{array}{c} \text{ROCH}_2\text{CH}_2(\text{OCH}_2\text{CH}_2)_n\text{—O—C(=O)—NH} \\ | \\ (\text{CH}_2)_4 \\ | \\ \text{CH} \\ | \\ \text{R'CH}_2\text{CH}_2(\text{OCH}_2\text{CH}_2)_n\text{—O—C(=O)—NH} \\ | \\ \text{C(=O)—O—N} \end{array}$$

A preferred pegylated-IFN- α conjugate has the formula:



4

Most preferred is the pegylated interferon- α is of the formula



wherein n and n' are independently 420 or 520. This pegylated IFN- α conjugate is known, for example in European Patent Application EP 809996, incorporated herein by reference.

The IFN- α used in the present invention may be associated with other active pharmaceutically compounds, like mycophenolate mofetil, ribavirin or amantadine.

Measurement of the expression level of genes in the present invention can be carried out in a variety of ways:

- in situ hybridization with fixed whole cells, with fixed tissue samples,
- Southern hybridization (DNA detection) (Sambrook et al., Molecular Cloning, vol. 2, 9.31-9.57),
- Northern hybridization (RNA detection) (Sambrook et al., Molecular Cloning, vol. 1, 7.37 & 7.39),
- serum analysis (e.g., cell type analysis of cells in the serum by slot-blot analysis),
- after amplification (e.g., PCR technique) (Sambrook et al., Molecular Cloning, vol. 2, 14.2-14.4).

Gene expression may be measured directly by RNA analysis such as Northern blot or Dot blot techniques. Such blotting techniques require the use of nucleic acid probes, usually radiolabelled, specific to at least one of the genes predictive for said treatment. Probes may be prepared synthetically based on the known nucleotide sequences of the predictive genes of reference sample. For Northern blotting RNA is obtained from tissues extracts by

conventional methods. The RNA is then denatured and separated on an agarose gel by electrophoresis followed by transfer to a nylon or a cellulose nitrate filter by blotting and fixation by baking. The filter is then exposed to a single labeled complementary probe and mRNA of interest is detected, usually, by autoradiography. Dot blotting is similar except
5 that the mRNA is not electrophoresed before immobilization.

Alternatively, gene expression may be measured by the level of mRNA or by gene product. mRNA transcript can be measured by variety of techniques, including Northern blotting (Thomas (1980) Proc. Natl. Acad. Sci. USA 77:5201-5205) and in situ hybridization. Gene product may be measured by Western blotting and by
10 Immunohistochemical staining, for example. According to Western blotting measurement, predictive proteins of reference sample or peptides of said proteins are transferred from SDS polyacrylamide gel electrophoresis on to nitrocellulose membrane. This nitrocellulose paper is then treated with the sample to be tested. After washing, the nitrocellulose membrane is then incubated with antibodies labeled with radioactive or fluorescence
15 labels. According to immunohistochemical staining antibodies may be either monoclonal or polyclonal and may be prepared against a synthetic peptide based on the reported DNA of at least one predictive gene of a reference sample. Those synthetic peptides may then be used as immunogen in preparing antibodies by well-known techniques. Immunogen may be also directly prepared from the native product of at least one of the predictive genes
20 for tumor treatment and/or portions thereof.

In the present invention, for determining the ability of patients to respond to a tumor treatment, patient samples are prepared depending on the nature of the cancer. Patient samples including blood, urine, serum, lymph node, bone marrow, cell extracts or
25 tissue extracts may be used, for example.

The lymph node may be fresh samples or frozen, preferably snap-frozen in liquid nitrogen, and stored at about -80°C. Blood and bone marrow samples, upon collection, are stored and their cells lysed using preferably a guanidine hydrochloride-based solution with detergent. Additional steps to enrich specific cell fractions in blood, such as Ficoll-gradient
30 separation, can also be used prior to cell lysis.

If samples such as blood or bone marrow are used, it is preferred to perform an enrichment of epithelial cells or lymphocytes prior to mRNA extraction. Such an enrichment can be done by the use of epithelial specific binding such as immuno beads or

high gradient + magnetic cell sorting (MACS) (Hardingham, I.E., et al., Int. J. Cancer 20 (2000) 8-13; Martin, V.M., et al., Exp. Hematology 26 (1998) 252-264).

The diagnostic test in the present invention comprises a matrix with probes like nucleotides acids or protein probes, a liquide phase containing antibodies or DNA probes
5 which detect gene transcription or product of one these genes predictive for tumor treatment.

For detecting the presence of the product of one gene predictive for said tumor treatment, the antibodies in the liquid phase may be bound to a marker. Particularly the enzyme alkaline phosphatase is used as marker.

10 The therapeutic efficacy of tumor treatment may be related to direct effects on the cells.

It would be useful to have a method for screening the availability of cells to be sensitive or resistant to tumor treatment, said method comprising the identification of gene expression profiles specific of said treatment. Cells may be one or more cell lines,
15 especially tumor cell lines and more particularly melanoma primary cell lines.

An other object of the present invention concerns an immunological marker enabling the selection of cells responding to a tumor treatment. This immunological marker is an antibody specific for a product of one or more of the genes predictive for said tumor treatment.

20 Finally the present invention concerns a diagnostic test for determining whether or not a cancer cell-containing test sample originating from or containing human cells has potential for tumor development, tumor progression or metastasis with a specific tumor treatment, wherein the test sample and a second sample originating from non-tumor cells obtained from the same individual or a different individual of the same species, which test
25 comprises the following steps:

(a) incubating each respective sample under stringent hybridization conditions with a nucleic acid probe which is selected from the group consisting of:

(i) a nucleic acid sequence of at least one of the genes predictive for said treatment;

30 (ii) a nucleic acid sequence which is complementary to any nucleic acid sequence of (i);

(iii) a nucleic acid sequence which hybridizes under stringent conditions with the sequence of (i); and

(iv) a nucleic acid sequence which hybridizes under stringent conditions with the sequence of (ii); and

(b) determining the approximate amount of hybridization of each respective sample with said probe, and

- 5 (c) comparing the approximate amount of hybridization of the test sample to an approximate amount of hybridization of said second sample to identify whether or not the test sample contains a greater amount of the specific nucleic acid or mixture of nucleic acids than does said second sample.

10 Typically, the approximate amount of hybridization is determined qualitatively, for example, by a sight inspection upon detecting hybridization. For example, if a gel is used to resolve labelled nucleic acid which hybridizes to target nucleic acid in the sample, the resulting band can be inspected visually. One can compare the approximate amount of hybridization in the test sample to the approximate amount of hybridization in non-tumor cells. Such non-tumor cells are, e.g., epithelial cells or peripheral blood cells.

15 The present invention will be better understood on the basis of the following examples, offered by way of illustration and not by way of limitation.

The cell lines used in the present invention can be any human cell lines. Especially, preferred are responder cell lines CNCM I-2544, CNCM I-2546, CNCM I-2547 and CNCM I-2548 and non responder cell lines CNCM I-2545 and CNCM I-2549 isolated
20 from human primary melanoma. Culture of these cell lines were deposited and registered under the Budapest Treaty (Rule 6.1) by the Culture Collection "Collection Nationale de Cultures de Microorganismes" on August 17, 2000.

The examples below are in connection with the following figures:

25 Figure 1. Expression of genes encoding tumor associated antigens and IFN- α receptor in melanoma cell lines.

Panel A: Expression of genes encoding tumor associated antigens.

The cell lines CNCM I-2544 (375), CNCM I-2545 (D10), CNCM I-2546 (15), CNCM I-2547 (51), CNCM I-2548 (59) and CNCM I-2549 (67) are cultured for 48 hours in the presence (+) or absence (-) of 100U/ml IFN- α . The expression patterns of genes encoding
30 tyrosinase, tyrosinase related protein-2, pmel-17 and mart-1, HLA restricted, tumor associated antigens are reported. Grey bars refer to sensitive cell lines and black bars refer

to IFN- α resistant lines (see table 1). Data are presented as average difference of signal intensity between matched and mismatch probeset.

Panel B: IFN- α receptor gene expression is detected in IFN- α sensitive and resistant melanoma cell lines by 25 cycles RT-PCR.

- 5 Figure 2. Genes preferentially expressed in IFN- α sensitive or resistant melanoma cell lines.

Oligonucleotide array expression data are collected from untreated cells. Data from the sensitive (CNCM I-2544 (A375), CNCM I-2546 (ME15), CNCM I-2547 (ME51) and CNCN I-2548 (ME59), panel A) or resistant cell lines (CNCM I-2545 (D10) and CNCM I-2549 (ME67), panel B) are combined into two data sets. Average values for individual
10 genes are then filtered to identify genes at least three fold upregulated in either group. Data are presented as average difference of signal intensity between match and mismatch probeset.

- 15 Identification of IFN- α inducible genes in melanoma cell lines

Cell lines and culture conditions

Melanoma cell lines are screened for their sensitivity to proliferation inhibition and HLA class I induction. The study is done of six cell lines (CNCM I-2544, CNCM I-2545, CNCM I-2546, CNCM I-2547, CNCM I-2548 and CNCM I-2549).

20

All these cell lines are cultured in RPMI medium supplemented with 10% FCS, glutamine (2 mM), sodium pyruvate (1 mM), non-essential aminoacids and HEPES buffer (10mM) (all from GIBCO Life Sciences, Paisley, UK). When confluent, the cells are passaged by trypsinization.

25

Oligonucleotide array analysis

30

Cultured melanoma cells are harvested by scraping and total cellular RNA is extracted. 10 μ g from each sample are used directly as templates for cDNA synthesis using a commercial kit (Roche Molecular Biochemicals, Rotkreuz, Switzerland). The T7 promoter sequence incorporates into the cDNA synthesis primer allowed template amplification and biotin labeling by *in vitro* transcription using a commercial kit (Affymetrix, Santa Clara, CA). After alkaline heat fragmentation cDNA are hybridised to the array and all subsequent steps are performed following standard procedures as supplied with the arrays

(Affymetrix, Santa Clara, CA). Raw data are collected with a confocal laser scanner (Hewlett Packard, Palo Alto, CA) using GeneChip software v3.1 (Affymetrix, Santa Clara, CA).

Data analysis

5

Raw data are normalized based on the total chip signal obtained upon hybridisation of ME15 cell line cDNA. This array is selected because : i) the 5'/3' intensity ratio of control genes was smaller than 3; ii) >25% of the genes are called "present" by Genechip; iii) the image appearance is homogeneous with low background and no sign of mechanical chip damage.

10

The normalized average difference (nAD) between the signals of the perfect and of the mismatch probesets for each gene is used as the expression level of a given gene. At least one nAD value in a pairwise comparison of data has to exceed 50 to be included. Based on nAD, change factors related to IFN- α exposure are also calculated. Negative nAD values are set to 20 in order to avoid the calculation of artificially high modifications. In order to exclude artefacts, only genes with robust change factors; greater than 2-fold, are included in the analysis. By applying these criteria, about 60-80 genes are found to be differentially expressed depending on the cell line analyzed. Array to array variations do not exceed 2% based on the hybridization of one sample to 5 arrays from the same batch in a pilot study. Genes are clustered according to their mode of regulation.

15

20

Identification of IFN- α sensitive and resistant melanoma cell lines

A number of established melanoma cell lines are assayed for their sensitivity to IFN- α by testing the capacity of IFN- α to inhibit their proliferation and to increase their surface expression of HLA class I determinants.

25

Two cell lines (CNCM I-2545 and CNCM I-2549) are found to be resistant to the antiproliferative effects of IFN- α .

Proliferation of CNCM I-2547 and CNCM I-2548 could be at least 50% inhibited by IFN- α concentrations as low as 10U/ml, whereas CNCM I-2544 and CNCM I-2546 require a ten times higher dose for the elicitation of similar effects (table 1).

30

The upregulation of HLA class I expression by IFN- α closely matches its antiproliferative effects. In no case a dissociation of the two activities could be observed (table 1).

Table 1. Identification of melanoma cell lines sensitive or resistant to the inhibition of proliferation and to the HLA class I overexpression induced by IFN- α .

Cell line	proliferation inhibition (IC50) (a)	HLA class I induction (b)
CNCM I-2544	+ (100 U/ml)	(442vs.305)
CNCM I-2545	-	(184vs.196)
CNCM I-2546	+ (100 U/ml)	(521vs.257)
CNCM I-2547	+ (10 U/ml)	(980vs.135)
CNCM I-2548	+ (10 U/ml)	(559vs. 380)
CNCM I-2549	-	(175vs. 203)

(a) Melanoma cell lines are cultured in the presence of IFN- α concentrations ranging between 1 and 1000 U/ml. ³H-Thymidine incorporation is measured daily over a five days culture period following an 18 hour pulsing time. IC50 is the IFN- α concentration inducing at least a 50% inhibition of a maximal proliferation activity detectable in individual experiments.

(b) Melanoma cells are stained with HLA class I specific monomorphic mAbs following a two days culture in the presence (digits on the right) or absence (digits on the left) of IFN- α (100 U/ml) and tested by flow-cytometric. Data are expressed as mean fluorescence intensity of labelled cell lines.

Detection of genes encoding tumor associated antigens in melanoma cell lines

Total cellular RNA is extracted from the sensitive and resistant melanoma cell lines identified above, reverse transcribed and processed for hybridization to an oligonucleotide array (Hu6800FL, PN 900183) containing probe sets derived from 7000 full length human genes. Expression levels for each gene are calculated as normalized average difference (nAD, see materials and methods).

Datasets for genes encoding MART-1/Melan-A, pmel-17 (gp100), TRP-2 and tyrosinase tumor associated antigens (TAA) are first analyzed. These four genes are found to be expressed in CNCM I-2546 and CNCM I-2545, whereas virtually no expression is detectable in CNCM I-2544, CNCM I-2546, CNCM I-2547 and CNCN I-2548 cell lines (figure 1). Functional tests confirmed these findings. Indeed, CNCM I-2545, HLA-A2.1 positive, melanoma cells are effectively killed by HLA-A2.1 restricted CTL lines recognizing epitopes derived from MART-1/Melan-A, pmel-17/gp100, tyrosinase or TRP-

2 proteins. In contrast, CNCM I-2548 HLA-A2.1 positive cells, that does not express the genes under investigation failed to be killed by the specific CTL (figure 1). Remarkably, IFN- α treatment does not appear to influence the expression of the genes encoding these TAA.

- 5 Thus, the application of the microarray technology to the cellular system under investigation is validated by results concurrently obtained at functional and gene expression level.

IFN- α receptor gene expression in melanoma cell lines

- 10 A differential sensitivity to the effects of IFN- α is related to a differential expression of the specific receptor. Indeed, transcripts from the IFN- α receptor gene (IFNAR2) are detected at low levels, nAD \leq 60, (table 2, gene cluster 5) upon microarray hybridization of the cDNA from the cell lines under investigation.

- 15 IFN- α receptor gene expression is evaluated by using a more sensitive RT-PCR assay (figure 2B).

Although to different extents, unrelated to the level of responsiveness to IFN- α , specific transcripts are detectable in all lines.

Detection of potential marker genes for IFN- α responsiveness

- 20 The genetic profile of melanoma cell lines is classified according to their sensitivity or resistance to critical direct effects of IFN- α , namely the inhibition of proliferation and the upregulation of HLA class I expression.

- 25 The availability of large mRNA expression data sets from six human melanoma cell lines well mentioned for their responsiveness to IFN- α raised the possibility of identifying genes preferentially expressed in sensitive or resistant lines in the absence of cytokine treatment. Microarray data of all genes from the responder (CNCM I-2544, CNCM I-2546, CNCM I-2547 and CNCN I-2548) and non responder (CNCM I-2545 and CNCM I-2549) cell lines are combined resulting in two averaged data sets. These data are then screened for genes upregulated more than three fold in either group. The average data
30 are then dissociated in order to obtain the individual expression levels in each line. A gene was considered positive or predictive when the deviation from the mean is lower than 30% of the average value of the nAD.

This analysis results in the identification of a group of four genes preferentially expressed in IFN- α sensitive cell lines (figure 2, panel A). Two of them, IFI16 and RCC1 encode nuclear proteins endowed with mitotic regulation and transcriptional activation capacities, respectively. A third is the hox2 homeobox gene, whereas the fourth, h19 gene, encodes an untranslated RNA, involved in the DNA methylation and genetic imprinting processes. Notably, however, RCC1 gene is not expressed in one IFN- α sensitive cell line (ME51).

On the other hand, two genes encoding likely components of signal transduction pathways, SHB and PKC- ζ appear to be preferentially expressed in IFN- α resistant D10 and ME67 cell lines (figure 2, panel B).

A pattern of genes preferentially expressed according to typical profiles in sensitive and resistant cells clearly emerged. Genes involved in the regulation of cell proliferation, such as IFI16, h19 and RCC1, but also hox2, are found to be preferentially expressed in sensitive cell lines. Intriguingly, genes encoding SHB and PKC- ζ proteins, known components of defined signal transduction pathways appear to be preferentially expressed in IFN- α resistant cells. These puzzling data suggest that IFN- α resistance could result from a series of active events as opposed to a merely defective activation.

Induction of gene expression by IFN- α in sensitive and resistant cell lines

20

Pattern of genes expressed in IFN- α sensitive and resistant melanoma cell lines are investigated upon 48 hour culture in the presence of IFN- α . CNCM I-2546 and CNCM I-2545 are studied in detail. Analysis is focused on genes which are at least 3-fold up or down regulated as compared to untreated cells and displayed nAD values of at least 50 in one of the four experiments.

Cluster 1 (table 2) includes genes only inducible in the sensitive CNCM I-2546 cell line. As expectable the expression of these genes was not significantly affected by the treatment in CNCM I-2545, IFN- α resistant, cells. This set of genes includes HLA class I genes, 2-5A synthetase, TAP-1, genes encoding a number of interferon-inducible proteins, but also p27 cyclin dependent kinase inhibitor and ROX protein. A single gene, encoding amplexin or ems-1, and derived from a locus 11q13 frequently amplified in tumor cells appears to be induced by IFN- α in both lines (cluster 2).

Cluster 3 genes, including ip-30, a known IFN- γ inducible gene, and dss 1 were induced in CNCM I-2545 resistant cell line but their expression was not significantly

altered in CNCM I-2546 sensitive cells. Cluster 4 includes additional genes inducible by IFN- α in CNCM I-2546 which are, in contrast to cluster 1, downregulated in IFN- α resistant CNCM I-2545 cells. Interestingly, the transcription factor ISGF-3, of relevance for IFN- α signalling, belongs to this cluster that includes other IFN related genes. Cluster 5
5 comprises genes downregulated by IFN- α treatment in resistant CNCM I-2545 cells, but virtually unaffected in sensitive CNCM I-2546 cells. Interestingly, this cluster comprises the gene encoding IFN- α receptor. Cluster 6 includes two genes (irf-2 and interferon-induced cellular resistance mediator) whose expression, basically undetectable in CNCM I-2545 resistant cell line, is downmodulated in CNCM I-2546 IFN- α sensitive cells.
10 Interestingly, the IRF-2 gene product is known to bind to the promoter region of IFN type I inducible genes and to prevent transcription. Downregulation could thus promote the activation of IFN inducible genes.

In table 2 below, CNCM I-2546 and CNCM I-2545 cultured for 48 hours in the
15 absence or in the presence of 100 U/ml IFN- α were used to assay cytokine modulated gene expression as matched with data obtained in fibrosarcoma cells. This analysis yielded six clusters of genes. Cluster 1 contains genes only induced in the IFN- α sensitive CNCM I-2546. Cluster 2 includes amplaxin, upregulated in both lines and cluster 3 comprises genes only induced in CNCM I-2545 resistant line. Cluster 4 refers to genes downregulated in
20 CNCM I-2545 and induced in CNCM I-2546. Cluster 5 includes genes downregulated in CNCM I-2545 and cluster 6 refers to genes downregulated in CNCM I-2546. Data are presented as average difference of signal intensity between match and mismatch probeset.

15

Table 2: IFN- α modulated genes

Cluster 1		CNCM I-2545 (-)	CNCM I-2545 (+)	CNCM I-2546 (-)	CNCM I-2546 (+)	CNCM I-2545 CF	CNCM I-2546 CF	Cluster
U51127	interferon regulatory factor 5	262	91	3	116	-1.88	4.8	~u
X07730	prostate specific antigen	234	85	-64	132	-1.75	5.6	~u
X57522	ring4 cdna	143	49	-49	97	-1.92	3.85	~u
Z56281	interferon regulatory factor 3	93	31	12	136	-2	5.8	~u
J04164	interferon-inducible protein 27-sep	-447	-97	-89	2066	0	102.3	~u
U50648	interferon-inducible rna- dependent protein kinase (pkr)	106	46	-70	71	-1.3	2.55	~u
X67325	p27	-460	-86	-211	410	0	19.5	~u
HG658	major histocompatibility complex, class I c	1183	455	156	1692	-1.6	9.85	~u

FG/vh/14.06.00

16

M13755	interferon-induced 17-kda/15-kda protein	-163	31	-181	716	0.55	34.8	~u
D28137	bst-2,	37	88	28	305	1.38	9.89	~u
M19650	2',3' -cyclic nucleotide 3prime-phosphodiesterase	137	99	55	269	-0.38	3.89	~u
X96401	rox protein	229	83	34	143	-1.76	3.21	~u
U22970	16-jun gene, interferon-inducible peptide (6-16)	-190	24	43	2363	0.2	53.95	~u
X57351	1-8d gene from interferon-inducible gene family	2469	2686	24	297	0.09	11.38	~u
X02874	2-5A synthetase (1.6 kb)	57	-4	-116	486	-1.85	23.3	~u
J00105	beta-2 microglobulin gene	1668	2101	248	1453	0.26	4.86	~u
M94880	mhc class i (hla-a*8001)	551	421	202	932	-0.31	3.61	~u
HG2917	major histocompatibility complex, class i, e	353	322	147	813	-0.1	4.53	~u
D49824	hla-b null allele	527	267	144	925	-0.97	5.42	~u
Cluster2								

17

M98343	amplaxin (emsl)	-24	63	15	141	2.15	6.05	uu
Cluster3								
M92642	alpha-1 type xvi collagen (coll6a1)	11	115	-147	-133	4.75	0	u~
J03909	gamma-interferon-inducible protein (ip-30)	42	142	18	57	2.38	1.85	u~
U41515	dss1	-62	123	104	51	5.15	-1.04	u~
Cluster4								
L40387	thyroid receptor interactor (trip14)	104	5	0	85	-4.2	3.25	du
U72882	interferon-induced leucine zipper protein (ifp35)	125	27	-9	502	-3.63	24.1	du
U53830	interferon regulatory factor 7a	103	5	-31	237	-4.15	10.85	du
M97935	transcription factor isgf-3	331	92	-37	296	-2.6	13.8	du
U01824	glutamate/aspartate transporter	339	35	-73	91	-8.69	3.55	du
Cluster 5								

18

J00212	leukocyte interferon (ifn-alpha)	106	9	138	49	-4.3	-1.82	d~
U52513	rig-g,	289	10	49	96	-13.45	0.96	d~
X90846	mixed lineage kinase 2	357	60	425	173	-4.95	-1.46	d~
L42243	ifnar2 gene (interferon receptor)	62	-6	46	-4	-2.1	-1.3	d~
M79462	pml-1	201	11	43	1	-9.05	-1.15	d~
K01900	lymphocyte interferon alpha type 201	156	7	55	85	-6.8	0.55	d~
Cluster 6								
M30818	interferon-induced cellular resistance mediator protein	20	5	756	155	0	-3.88	~d
X15949	interferon regulatory factor-2 (irf-2)	0	13	67	11	0	-2.35	~d

Column 1 = Genebank ID; column 2 = gene description; column 3 = nAD in CNCM I-2545 cultured in the absence of IFN- α ; column 4 = nAD in CNCM I-2545 cultured in the presence of IFN- α ; column 5 = nAD in CNCM I-2546 cultured in the absence of IFN- α ; column 6 = CNCM I-2546 cultured in the presence of IFN- α ; column 7 = change factor (CF) in CNCM I-2545; column 8 = change factor in CNCM I-2546; column 9 = clusters' characteristics (~ = no change; d = downregulated; u = upregulated).

19

Detection of novel IFN- α inducible genes

Clusters 1 and 2, reported in table 3, include genes previously undescribed as IFN- α inducible, whose expression can be upregulated upon melanoma cells treatment. Cluster 1 comprises genes only induce in sensitive cells, whereas cluster 2 refers to genes upregulated upon IFN- α treatment of melanoma cells regardless of their „phenotypic“ sensitivity. Some of these genes obviously belong to melanocytic (melanoma differentiation antigen, mda-6) or neuroectodermic (e.g., neuroleukin or catechol o-methyltransferase) cell lineages, while others clearly inducible genes such as, for instance, those encoding plasma gelsolin or spermidine synthase escape an evident, similar, tissue specific classification. Cluster 2 in table 3 includes novel genes inducible regardless of IFN- α responsiveness in both lines analyzed. A number of these genes (rheb, PP1, ATPase, ceramidase, eif3) are functionally related to intracellular signaling pathways.

In table 3 below, CNCM I-2546 and CNCM I-2545 cell lines cultured for 48 hours in the absence or in the presence of 100 U/ml IFN- α were used to identify novel cytokine induced genes whose expression was not found to be modulated in fibrosarcoma cells. Cluster 1 includes genes inducible in CNCM I-2546 sensitive but not in CNCM I-2545 resistant cell line. Cluster 2 comprises genes inducible in both lines. Data are presented as average difference of signal intensity between match and mismatch probeset.

20

Table 3: Novel IFN- α inducible genes

Cluster 1	CNCM I-2545 (-)	CNCM I-2545 (+)	CNCM I-2546 (-)	CNCM I-2546 (+)	CNCM I-2546 CF	CNCM I-2546 CF	Cluster
L37043	casein kinase i epsilon 218	221	0	441	21.05	21.05	~u
D32050	alanyl-trna synthetase 1458	761	21	427	19.33	19.33	~u
D28137	bst-2 37	88	28	305	9.89	9.89	~u
S81914	ix-1=radiation-inducible immediate-early gene -66	21	31	312	9.06	9.06	~u
X95325	dna binding protein a variant 634	253	58	375	5.47	5.47	~u
U91316	acyl-coa thioester hydrolase 253	428	141	706	4.01	4.01	~u
U47025	fetal brain glycogen phosphorylase b 672	465	193	924	3.79	3.79	~u
Z26491	gene catechol o- methyltransferase 238	181	73	337	3.62	3.62	~u

FG/vh/14.06.00

21.

L13210	mac-2 binding protein	2555	2092	444	2016	-0.22	3.54	~u
K03515	neuroleukin	2998	2552	487	2126	-0.17	3.37	~u
U09579	melanoma differentiation associated (mda-6)	-59	-26	83	361	0	3.35	~u
U72206	guanine nucleotide regulatory factor (lfp40)	238	162	113	459	-0.47	3.06	~u
X76538	mpv17	132	101	77	313	-0.31	3.06	~u
U18009	chromosome 17q21 clone lf113	674	423	187	735	-0.59	2.93	~u
U69126	fuse binding protein 2 (fbp2)	114	159	77	302	0.39	2.92	~u
U50327	protein kinase c substrate 80k-h gene (prkcsh)	401	236	80	312	-0.7	2.9	~u
D21235	hhr23a protein	388	346	150	582	-0.12	2.88	~u
HG1612	macmarcks	588	505	401	1440	-0.16	2.59	~u
X04412	plasma gelsolin	220	220	107	372	0	2.48	~u
U65579	mitochondrial nadh dehydrogenase-ubiquinone	630	621	110	377	-0.01	2.43	~u

22

Y00264	amyloid a4 precursor	392	427	89	304	0.09	2.42	~u
M31013	nonmuscle myosin heavy chain (nmhc)	377	263	132	441	-0.43	2.34	~u
M34338	spermidine synthase	472	277	429	1413	-0.7	2.29	~u
D50914	EST	234	78	110	359	-2	2.26	~u
U18018	ela enhancer binding protein (ela-f)	11	23	107	340	0.15	2.18	~u
U61263	acetolactate synthase homolog	410	301	150	464	-0.36	2.09	~u
U65932	extracellular matrix protein 1 (ecm1)	2498	1066	300	907	-1.34	2.02	~u
Cluster 2								
D78132	rheb gene, ras-related gtp binding protein gene	88	253	119	307	1.88	1.58	uu
M65028	heterogeneous nuclear ribonucleoprotein a/b	154	353	153	326	1.29	1.13	uu
X70848	protein phosphatase 1, catalytic	24	226	122	370	8.42	2.03	uu

23

	subunit												
J04182	lysosomal membrane glycoprotein-1 (lamp1)	66	484	168	452	6.33	1.69	uu					
J04444	cytochrome c-1 gene	886	2268	309	1286	1.56	3.16	uu					
L07633	interferon-gamma	22	275	184	463	11.5	1.52	uu					
L35249	vacuolar h+-atpase mr 56.000 subunit (ho57)	-40	357	92	227	16.85	1.47	uu					
M60784	u1 snrnp-specific protein	115	413	24	216	2.59	8	uu					
U70063	human acid ceramidase	115	400	86	221	2.48	1.57	uu					
U78525	human eukaryotic translation initiation factor (eif3)	165	372	275	589	1.25	1.14	uu					
Z47055	farnesyl pyrophosphate synthetase like	394	797	263	529	1.02	1.01	uu					

Column 1= Genebank ID; column 2= gene description; column 3= nAD in CNMCM 1-2545 cultured in the presence of IFN- α ; column 4= nAD in CNMCM 1-2545 cultured in the absence of IFN- α ; column 5= nAD in CNMCM 1-2546 cultured in the presence of IFN- α ; column 6= nAD in CNMCM 1-2546 cultured in the absence of IFN- α ; column 7= change factor (CF) in CNMCM 1-2545; column 8= change factor in CNMCM 1-2546; column 9= clusters' characteristics (~= no change; d= downregulated; u= upregulated).

THIS PAGE BLANK (USPTO)

24

Claims

1. A method for screening patients to determine their ability to respond to a tumor treatment, said method comprising:

- 5 - measuring by said patients the expression level of at least one of the genes predictive for said treatment; and
- comparing the result of measurement to a reference sample.

2. A method as in claim 1, wherein patients are patients suffering from tumor.

10 3. A method as in claim 1, wherein patients are patients suffering from cancer melanoma.

4. A method as in claim 1, wherein the tumor treatment includes tumor drug.

15 5. A method as in claim 1, wherein the tumor treatment includes IFN- α or one of its derivatives.

20 6. A method as in claim 1, wherein gene expression is measured directly by DNA analysis with a DNA probe specific at least to one of the genes predictive for said treatment or by determination of gene product or by determination the level of mRNA transcription.

7. A diagnostic test for carrying out the method as in claims 1 to 6, characterized in that it comprises:

- 25 - a matrix with probes,

- 25 -

- a liquid phase containing antibodies or DNA probes which detect gene transcription or product of one of these genes predictive for said tumor treatment.

5 8. A method for screening the availability of cells or tissues to be sensitive or resistant to tumor treatment, said method comprising the identification of gene expression profiles characteristic of said treatment.

9. A method as in claim 1, wherein cells concern one or more cell lines.

10 10. A method as in claim 1, wherein cells concern one or more tumor cell lines.

11. An immunological marker enabling the selection of cells responding to a tumor treatment characterized in that it is an antibody specific for product of one or more of the genes predictive for said treatment.

- 26 -

EPO - Munich
66
28. Aug. 2000Abstract

The present invention relates to a method for screening patients to determine their ability to respond to a tumor treatment and also to a diagnostic test for carrying out the said
5 method.

THIS PAGE BLANK (USPTO)

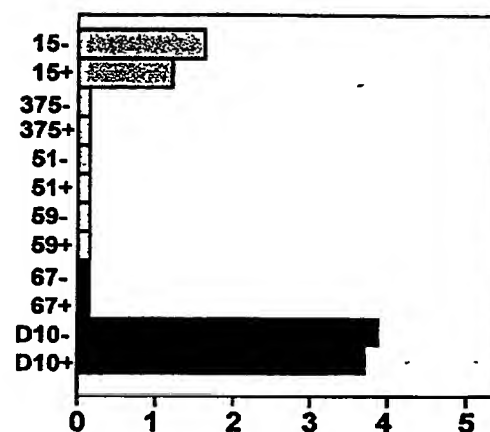
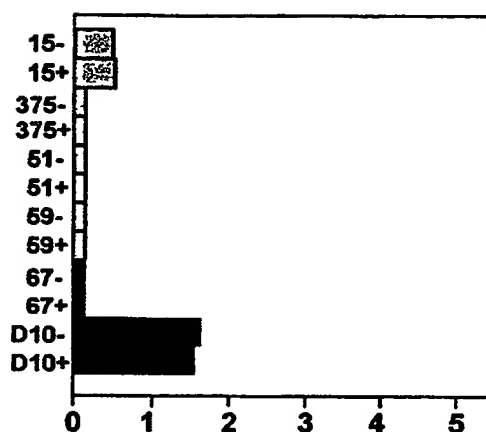
28. Aug. 2000

A

Gene: Tyrosinase (M27160)

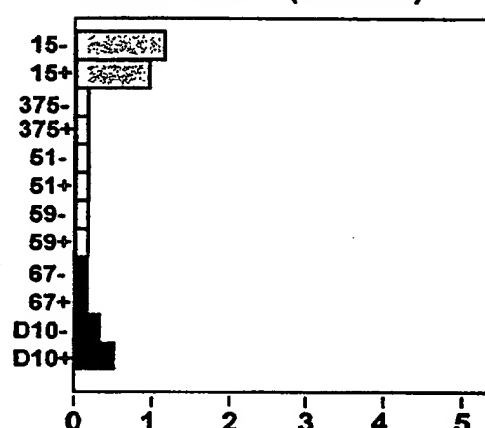
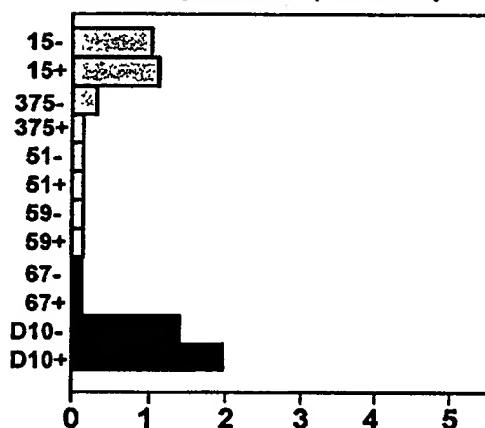
Gene: Tyrosinase related
protein (X51420)

Cell Line



Gene: pmel-17 (M77348)

Gene: mart-1 (U06452)



Average Difference (x100)

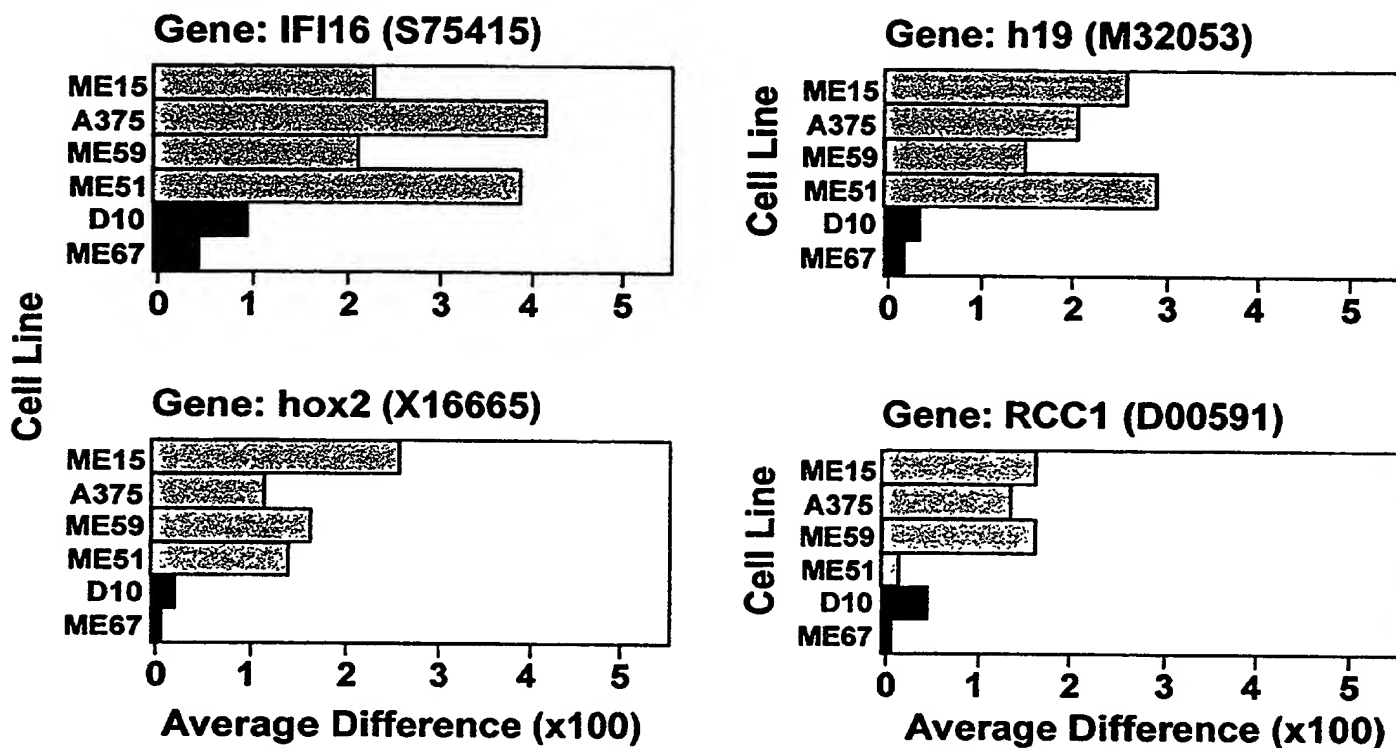
□ = R ■ = NR

BIFN- α receptor (L42243)

15 375 51 59 67 D10

Fig 2.

A



B

